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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 7

## **Complete if Known**

Application Number 10/789,308  
Filing Date February 26, 2004  
First Named Inventor Thomas M. Jessell  
Art Unit 1647  
Examiner Name Daniel C. Gamett  
Attorney Docket Number 0575/79180-BJPW/CSS

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number:Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,753,506	05-19-1998	Johe	
	2	US- 6,432,711	08-13-2002	Dinsmore et al.	
	3	US- 6,646,113	11-11-2003	Dreyfuss et al.	
	4	US- 7,250,294	07-31-2007	Carpenter et al.	
	5	US- 7,390,659	06-24-2008	Jessell et al.	
	6	US- 2004-0224302	11-11-2004	Jessell et al.	
	7	US- 2007-0185024	08-09-2007	Jessell et al.	
	8	US- 2007-0224650	09-27-2007	Jessell et al.	
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## **FOREIGN PATENT DOCUMENTS**

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	9	WO2001/174344	10-11-2001	Baxter et al.		
	10	WO2004/007665	01-22-2004	Jessell et al.		
	11	WO2005/081857	09-09-2005	Jessell et al.		
	12	WO2005/082002	09-09-2005	Jessell et al.		

Examiner Signature /Daniel Gamett/ (07/15/2009)

Date Considered

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Applicants: Thomas M. Jessell, et al.

Serial Number: 10/789,308

Filing Date: February 26, 2004

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Sheet 2 of 7

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Application Number	10/789,308
Filing Date	February 26, 2004
First Named Inventor	Thomas M. Jessell
Art Unit	1647
Examiner Name	Daniel C. Gamett
Attorney Docket Number	0575/79180-B/JPW/CSS

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	13	Arnold et al., "Construction of a Plasmid Containing Human SMN, the SMA Determining Gene, Coupled to EGFP." Plasmid, 47(2): 79-87, 2002	
	14	Bjorklund et al., "Embryonic stem cells develop into functional dopaminergic neurons...in a Parkinson rat model." Proc Natl Acad Sci USA, 99: 2344-2349, 2002	
	15	Briscoe et al., "Homeobox gene Nkx2.2 and specification of neuronal identity by graded Sonic hedgehog signaling." Nature, 398: 622-627, 1999	
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	17	Clontech, "New Living Colors™ GFP Mammalian Vectors", CLONTECHniques, July 1996	
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	19	Derby et al., "GDNF is trophic for mouse motoneurons that express a mutant superoxide dismutase (SOD-1) gene." ALS and Other Motor Neuron Disorders, 1(2): 113-122, 2000	
	20	Fraichard et al., "In vitro differentiation of embryonic stem cells into glial cells and functional neurons." J. Cell Sci., 108: 3181-3188, 1995	
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	22	Ghosh et al., "Distinct roles for bFGF and NT-3 in the regulation of cortical neurogenesis." Neuron, 15(1): 89-103, 1995	

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STATEMENT BY APPLICANT**

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Sheet 3 of 7

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Application Number	10/789,308
Filing Date	February 26, 2004
First Named Inventor	Thomas M. Jessell
Art Unit	1647
Examiner Name	Daniel C. Gamett
Attorney Docket Number	057579180-BJPW/CSS

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	23	Goueli et al., "A novel and simple method to assay the activity of individual protein kinase in crude tissue extracts." Anal Biochem, 225(10):10-17, 1995	
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	25	Hynes et al., "Specification of dopaminergic and serotonergic neurons in the vertebrate CNS." Review. Curr. Opin. Neurobiol., 9: 26-36, 1999	
	26	Lee and Jessell, "The specification of dorsal cell fates in the vertebrate central nervous system." Annu. Rev. Neurosci. 22: 261-294, 1999	
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	30	Lee et al., BIOSIS Accession No. 200400198323, "Motoneuronal differentiation of human ES cells." Abstract from the Meeting of the Society for Neuroscience, November 8-12, 2003	
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
Application Number		10/789,308	
Filing Date		February 26, 2004	
First Named Inventor		Thomas M. Jessell	
Art Unit		1647	
Examiner Name		Daniel C. Gamett	
Attorney Docket Number		0575/79180-B/JPW/CSS	

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	33	Mettling et al., "Survival of newly postmitotic motoneurons is independent of exogenous trophic support.: J Neurosci. 15(4):3128-3137, 1995	
	34	Monani et al., "Animal models of spinal muscular atrophy." Review. Hum Mol Genet., 9(16): 2451-2457, 2000	
	35	Muhr et al., "Assignment of early caudal identity to neural plate cells by a signal from caudal paraxial mesoderm." Neuron, 19: 487-502, 1997	
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	37	Nagai et al., "Rats expressing human cytosolic copper/zinc superoxide dismutase transgenes...develop motor neuron disease." J. Neurosci., 21: 9246-9254, 2001	
	38	Nicholson et al., "Mice, the motor system, and human motor neuron pathology." Review. Mamm Genome, 11: 1041-1052, 2000	
	39	Pevny et al., "A role for SOX1 in neural determination." Development, 125: 1967-1978, 1998	
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	42	Spinella-Jaegle et al., "Sonic hedgehog ...pluripotent mesenchymal cells... osteoblastic lineage...adipocytic differentiation." J Cell Sci., 114: 2085-2094, 2001	

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Sheet 5

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Filing Date February 26, 2004  
First Named Inventor Thomas M. Jessell  
Art Unit 1647  
Examiner Name Daniel C. Gamett  
Attorney Docket Number 0575/79180-B/JPW/CSS

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	43	Talbot et al., "Characterization of a gene encoding Survival Motor Neuron (SMN)-related protein...spliceosome complex." Human Molecular Genetics, 7(13): 2149-2156, 1998	
	44	Turgeon et al., "Prevention of Thrombin-Induced Motoneuron Degeneration with Different Neurotrophic Factors in Highly Enriched Cultures." J Neurobiol., 38(4): 571-580, 1999	
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	46	Weinstein et al., "Neural induction." Annu Rev Cell Dev Biol., 15: 411-433. 1999	
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	50	Office Action issued by the United States Patent and Trademark Office on July 19, 2004 in connection with application No. 10/196,882	
	51	Office Action issued by the United States Patent and Trademark Office on October 1, 2004 in connection with Application No. 10/196,882	
	52	Office Action issued by the United States Patent and Trademark Office on May 3, 2005 in connection with Application No. 10/196,882	

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First Named Inventor	Thomas M. Jessell
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	53	Office Action issued by the United States Patent and Trademark Office on February 22, 2006 in connection with Application No. 10/196,882	
	54	Office Action issued by the United States Patent and Trademark Office on August 14, 2006 in connection with application No. 10/789,266	
	55	Final Office Action issued by the United States Patent and Trademark Office on October 27, 2006 in connection with Application No. 10/196,882	
	56	Office Action issued by the United States Patent and Trademark Office on April 30, 2007 in connection with Application No. 10/789,266	
	57	Office action issued by the United States Patent and Trademark Office on June 22, 2007 in connection with Application No. 10/196,882	
	58	Office Action issued by the United States Patent and Trademark Office on April 30, 2008 in connection with application No. 11/510,038	
	59	Office Action issued by the United States Patent and Trademark Office on May 9, 2008 in connection with application No. 11/510,366	
	60	Office Action issued by the United States Patent and Trademark Office on December 12, 2008 in connection with Application No. 11/510,038	
	61	Office Action issued by the United States Patent and Trademark Office on December 17, 2008 in connection with Application No. 11/510,366	
	62	PCT International Preliminary Examination Report issued April 4, 2005, in connection with PCT/US2003/20399, filed June 26, 2003	

Examiner  
Signature

/Daniel Gamett/ (07/15/2009)

Date  
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	63	PCT International Search Report issued October 7, 2004 in connection with PCT/US2003/20399, filed June 26, 2003	
	64	Written Opinion of International Searching Authority issued June 14, 2007 in connection with PCT/US2005/05166, filed February 18, 2005	
	65	PCT International Search Report issued June 14, 2007 in connection with PCT/US2005/05166, filed February 18, 2005	
	66	PCT International Preliminary Report on Patentability issued July 10, 2007 in connection with PCT/US2005/05166, filed February 18, 2005	
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	68	PCT International Search Report issued June 26, 2007 in connection with PCT/US2005/005877, filed February 22, 2005	
	69	PCT International Preliminary Report on Patentability issued July 17, 2007 in connection with PCT/US2005/005877, filed February 22, 2005	

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